



O R R I C K

CALIFORNIA MCLE SELF-STUDY CERTIFICATE

Provider Orrick, Herrington & Sutcliffe

Attorney Name _____

California Bar Number _____

Title of Program: Where From Here: Guidance on Upcoming SEC Insider Trading Policies

Date of Activity _____

FORMAT: VIDEO FILE

Total Hours 1.0

Specific Application of Hours:

Legal Ethics _____

Competence (substance abuse) _____

Elimination of Bias _____

General 1.0

KEEP FOR YOUR RECORDS



California MCLE Activity Evaluation Form - please return to mwoods@orrick.com and jgracey@orrick.com

Course: Where from Here: Guidance on Upcoming SEC Insider Trading Policies			Date: 01/27/2022
Location	Format	Time	Instructors
KPMG (Santa Clara, CA)	Webconference	8:00-9:00AM PST	Schneider, Chris Sullivan, Michael
Seattle (Seattle, WA)	Webconference	8:00-9:00AM PST	Frantz, Carolyn J.
Washington D.C. (Washington, DC)	Webconference	11:00AM-12:00PM EST	Murray, Anne E. Stern, Robert
CA CLE Credits:	1 General		
NY CLE Credits:	1 PP		

- 1. Did this program meet your educational objectives?*** (Rating)
Please rate on a scale of 1 to 5 (5 being the highest, best or most; 1 being the least, lowest or worst).
 5 4 3 2 1
- 2. Did the environment have a positive influence on your learning experience?*** (Rating)
Please rate on a scale of 1 to 5 (5 being the highest, best or most; 1 being the least, lowest or worst).
 5 4 3 2 1
- 3. Were you provided with substantive written materials?*** (Rating)
Please rate on a scale of 1 to 5 (5 being the highest, best or most; 1 being the least, lowest or worst).
 5 4 3 2 1
- 4. Did the course update or keep you informed of your legal responsibilities?*** (Rating)
Please rate on a scale of 1 to 5 (5 being the highest, best or most; 1 being the least, lowest or worst).
 5 4 3 2 1
- 5. Did the activity contain significant current professional content?*** (Rating)
Please rate on a scale of 1 to 5 (5 being the highest, best or most; 1 being the least, lowest or worst).
 5 4 3 2 1



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Course: Where from Here: Guidance on Upcoming SEC Insider Trading Policies

Date: 01/27/2022

6. Please rate the faculty * (Rating, Instructor)

Overall teaching effectiveness

Instructor: Schneider, Chris

5 4 3 2 1

Instructor: Sullivan, Michael

5 4 3 2 1

Instructor: Frantz, Carolyn J.

5 4 3 2 1

Instructor: Murray, Anne E.

5 4 3 2 1

Instructor: Stern, Robert

5 4 3 2 1

7. Please rate the faculty * (Rating, Instructor)

Effectiveness of teaching methods

Instructor: Schneider, Chris

5 4 3 2 1

Instructor: Sullivan, Michael

5 4 3 2 1

Instructor: Frantz, Carolyn J.

5 4 3 2 1

Instructor: Murray, Anne E.

5 4 3 2 1

Instructor: Stern, Robert

5 4 3 2 1



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Date: 01/27/2022

8. Please rate the faculty * (Rating, Instructor)

Significant current knowledge of subject

Instructor: Schneider, Chris

5 4 3 2 1

Instructor: Sullivan, Michael

5 4 3 2 1

Instructor: Frantz, Carolyn J.

5 4 3 2 1

Instructor: Murray, Anne E.

5 4 3 2 1

Instructor: Stern, Robert

5 4 3 2 1

9. Name of Participant (optional): (Fill in the blank)

Additional Comments:

* Required Question